Unequal Temperaments Theory, History and Practice

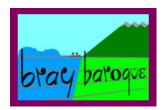
SCALES, TUNING AND INTONATION IN MUSICAL PERFORMANCE 3rd revised edition Claudio Di Veroli

Unequal Temperaments and their Role in the Performance of Early Music, Buenos Aires, Argentina

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Unequal Temperaments: Theory, History and Practice:
1st edition, Bray, Ireland, November 2008
2nd revised edition, Bray, Ireland, April 2009
3rd revised edition, Bray, Ireland, May 2013

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Bray Baroque, Bray, Co. Wicklow, Rep. Ireland

To Hubert Bédard (1933-1989), Prof. Sir David R. Cox and Debora, my mother

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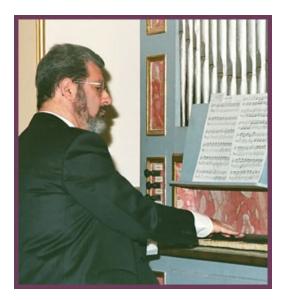
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Address: For any queries please feel free to email Dr. Di Veroli at dvc@braybaroque.ie.



Closing recital of the 2000 season at the Baroque Organ in the Cathedral, Buenos Aires, Argentina.

Born in Buenos Aires (Argentina) into an Italian family, **Claudio Di Veroli** studied the piano, recorder, clarinet, harmony and interpretation of early music under Ernesto Epstein, Erwin Leuchter, Ljerko Spiller and other renowned musicians. Still in his teens he worked as a harpsichord tuner: as a performer he would later win several Argentine national musical competitions and play extensively in public recitals. With a degree in Mathematics, he moved to England where—supervised by Prof. David R. Cox—he pursued research in Mathematical Statistics at Imperial College, London. He simultaneously continued his musical training, studying the harpsichord under Colin Tilney in London and Hubert Bédard in Paris. He was granted access and practised extensively on the antique keyboards in the Fenton House London and the Paris Conservatoire's *Musée Instrumental*.

Having obtained his PhD, Di Veroli went back to Buenos Aires to work as a consultant in information systems and management, while always devoting considerable time and effort to music. He introduced in Argentina the harpsichords based on early models, building a French double Hubbard kit, later described in a leading newspaper as the best instrument of its kind in South America. Through decades of musical activity in Argentina, he pioneered the performance of Baroque music based on ancient instruments and practices.

Di Veroli has carried out extensive research in early musical instruments and performance. His writings have been endorsed by leading musicians such as Gustav Leonhardt, Igor Kipnis and John Barnes. They include four treatises that have received favourable reviews—Unequal Temperaments and their role in the performance of early music (1978), Baroque Keyboard Fingering: A Method (1983, 2008, 2012), Unequal Temperaments: Theory, History and Practice (2009, 2013), Playing the Baroque Harpsichord: essays on the instrument, interpretation and performance (2010)—and the recent edition of Baroque Keyboard Masterpieces Fingered with Baroque Technique (2011). He is also the author of several published papers, most recently in Harpsichord & fortepiano (UK).

As a soloist—mostly on the harpsichord but also on the organ—Di Veroli has performed extensively in concert halls, churches, radio and TV, both solo and with ensembles, with very favourable reviews in important newspapers. He has been Professor of Harpsichord and examiner of the Organ course at the *Conservatorio Nacional* in Buenos Aires. In the Bach-year 1985 he premiered the performance of J.S. Bach's harpsichord concertos using his own reconstruction of Bach's fingering technique, as described in his above-mentioned fingering method. Recent teaching practices include masterclasses in Argentina, Uruguay, Italy and Ireland.

Claudio Di Veroli is fluent in English, Spanish and Italian: he also reads and speaks French. He and his wife Betty relocated to Ireland in 2001: they live in Bray, a seaside resort located in County Wicklow, just south of Dublin.

CONTENTS 5
INTRODUCTION 11
PART ONE - DESCRIPTION, ANALYSIS, HISTORY
CHAPTER 1. MUSICAL INTERVALS
1.1 SOUND, HARMONICS AND SINE WAVES 16 1.2 BEATS, CONSONANCE AND DISSONANCE 17 1.3 HISTORICAL PERSPECTIVE OF BASIC ACOUSTICS 18 1.4 THE UNISON AND THE OCTAVE 20 1.5 THE FIFTH AND THE MAJOR THIRD 21 1.6 GENERAL LAWS ON CONSONANCE AND BEAT RATES 23 1.7 RATIOS AND BEATS OF CONSONANT INTERVALS 24 1.8 COMPLEMENTARY INTERVALS 25 1.9 THE TRIADS 26 1.10 ORNAMENTAL BEATS 27
CHAPTER 2. PYTHAGOREAN GENERATION OF THE MUSICAL SCALE
2.1 THE CIRCLE OF FIFTHS 28 2.2 THE KEYBOARD IN PYTHAGOREAN INTONATION 30 2.3 HISTORICAL PERSISTENCE OF PYTHAGOREAN INTERVALS 32 2.4 THE MONOCHORD 32
CHAPTER 3. EQUAL TEMPERAMENT-THE CENT-EVALUATION METHOD
3.1 INTRODUCTION 35 3.2 EQUAL TEMPERAMENT 36 3.3 THE CENT 37 3.4 CENTS OF PURE INTERVALS 38 3.5 ANALYSIS OF DEVIATIONS USING THE CENT 39 3.6 EVALUATION OF EQUAL TEMPERAMENT 41 3.7 EVALUATION METHOD FOR UNEQUAL TEMPERAMENTS 43 3.8 EVALUATION OF PYTHAGOREAN INTONATION 44 3.9 HARMONIC WASTE OF THE FIFTHS 46 3.10 HARMONIC WASTE OF THE MAJOR THIRDS 47
CHAPTER 4. JUST INTONATIONS
4.1 COMMAS, DIESIS, SCHISMA AND FORMULAE 48 4.2 JUST INTONATIONS 51 4.3 PYTHAGOREAN-JUST INTONATION 52 4.4 STANDARD JUST INTONATION OR NATURAL SCALE 55 4.5 JUST INTONATION AND VARIABLE INTONATION 59
CHAPTER 5. MEANTONE TEMPERAMENTS
5.1 GENESIS OF MEANTONE TEMPERAMENT 60 5.2 THE MEAN TONE 62 5.3 STANDARD (1/4 S.c.) MEANTONE TEMPERAMENT 63 5.4 SHARPS, FLATS AND THE SPIRAL OF FIFTHS 66 5.5 EXTENDED (2/7 S.c.) MEANTONE TEMPERAMENT 70 5.6 MINOR-THIRDS (1/3 S.c.) MEANTONE TEMPERAMENT 72 5.7 HOMOGENEOUS (1/5 S.c.) MEANTONE TEMPERAMENT74 5.8 ATTENUATED (1/6 S.c.) MEANTONE TEMPERAMENT 76 5.9 ATTENUATING MEANTONE DOES NOT TAME THE WOLVES 79

CHAPTER 6. COMPARISON OF JUST AND REGULAR S	SYSTEMS
6.1 CLASSIFICATION OF REGULAR AND JUST SYST 6.2 DEVIATIONS IN REGULAR TEMPERAMENTS 6.3 A GENERAL COMPARISON OF THE FOUR CLASS 6.4 SCALES AND ENHARMONY 85 6.4.1 MELODIC IMPLICATIONS OF TEMPERAMENT 6.4.2 GENERATION OF THE SPIRAL OF FIFTHS 6.4.3 DIATONIC AND CHROMATIC TONES AND S 6.4.4 PYTHAGOREAN INTONATION SCALE 85 6.4.5 STANDARD MEANTONE SCALE 86 6.4.6 NINE COMMAS IN A TONE 87 6.4.7 VARIANTS OF MEANTONE 87 6.4.8 STANDARD JUST SCALE 88 6.4.9 COMPARISON OF SCALES AND TONES 6.5 LISTENING TO MEANTONE IN AN EQUALLY-TEI	81 SICAL SYSTEMS 84 T85 85 EMITONES 85
CHAPTER 7. LUTE TEMPERAMENTS	
7.1 FRETTED INSTRUMENTS AND TEMPERAMENT 7.2 SEMI-FRETTING 92 7.3 SEMI-PYTHAGOREAN TEMPERAMENT 92 7.4 SEMI-JUST TEMPERAMENT 94 7.5 SEMI-MEANTONE TEMPERAMENT 96 7.6 FRETTING IN MEANTONE TEMPERAMENTS 7.7 A GENERAL VIEW OF UNEQUAL FRETTINGS	90 97 99
CHAPTER 8. CIRCULAR FRENCH TEMPERAMENTS	
8.1 CIRCULAR TEMPERAMENTS 101 8.2 EARLY FRENCH TEMPERAMENT 103 8.3 STANDARD (1/4 S.c.) FRENCH TEMPERAMENT 8.4 HOMOGENEOUS (1/5 S.c.) FRENCH TEMPERAM 8.5 SMOOTH TEMPERAMENTS — COMPARISON	
CHAPTER 9. CIRCULAR "GOOD" TEMPERAMENTS	
9.1 CLASSIFICATION OF "COMMA-SPLITTERS" 9.2 SCHLICK'S TEMPERAMENT 118 9.3 WERCKMEISTER III TEMPERAMENT 120 9.4 KELLNER'S BACH TEMPERAMENT 122 9.5 VALLOTTI/YOUNG TEMPERAMENT 124 9.6 BARNES'S BACH TEMPERAMENT 127	116
9.6a NEIDHARDT'S VILLAGE, TOWN AND CITY 9.7 THE SEARCH FOR BACH'S TEMPERAMENT 134 WERCKMEISTER 134 VALLOTTI 134 NEIDHARDT 134	130
MARPURG AND KIRNBERGER (AND KELLETAT) KELLNER 136 BARNES 136 LEHMAN 137 LINDLEY 138 MYSTICISM 139 RANKING AND CONCLUSION ON EXISTING PROF	
FULL 1/6 P.C. SEARCH 141 FURTHER 1/12 P.C. SEARCH – WTC BEST 141 HOW UNEQUAL WAS BACH? 143	
9.8 CONCLUSIONS ON GOOD TEMPERAMENTS	144

145

9.9 VICTORIAN TEMPERAMENTS

PERSISTENCE OF UNEQUAL TEMPERAMENTS

THE IRRESISTIBLE ADVANCE OF EQUAL TEMPERAMENT 181 THE RATIONALE FOR EQUAL TEMPERAMENT 183

THE FATE OF FRETTED STRING INSTUMENTS

11.12 LATE ROMANTIC AND MODERN TIMES 185

MECHANISED EQUALLY-TEMPERED WIND INSTRUMENTS 185

EQUALLY-TEMPERED MECHANISED WOODWINDS 185

EQUALLY-TEMPERED VALVED BRASS 186 PYTHAGOREAN STRINGS AND WINDS 186

EQUAL TEMPERAMENT IMPROVEMENTS IN TUNING ACCURACY 186

LATE-ROMANTIC UNEQUAL ATTEMPTS 187 MODERN UNEQUAL TEMPERAMENTS 188

PART TWO – TUNING AND FRETTING INSTRUCTIONS

CHAPTER 12. SETTING THE TEMPERAMENT OF A KEYBOARD INSTRUMENT

12.1	ELECTRONIC TUNING DEVICES	190
122	TUNUNC DV EAD 404	

- 12.2 TUNING BY EAR 191
- 12.3 HEARING THE SLOWEST BEATS 191
- 12.4 TUNING INTERVALS BY EAR 192
- 12.5 MEASURING BEAT RATES 193
- 12.6 BEAT RATES AND TUNING PITCH 194
- 12.7 COMPARISON OF BEAT RATES AS HISTORICAL METHOD 195
- 12.8 COMPARISON OF BEAT RATES IN MODERN SCHEMES 195
- **12.9 OCTAVE TESTS 196**
- 12.10 THIRTY(30) HINTS AND TRICKS FOR HARPSICHORD TUNING 197
- 12.11 CHANGING THE TEMPERAMENT OF A KEYBOARD INSTRUMENT 203
- 12.12 TRANSPOSING KEYBOARDS AND TEMPERAMENT 204
- 12.13 MIDI AND PC PLAYING IN UNEQUAL TEMPERAMENTS 205
- 12.14 SOME REMARKS ON ORGAN TUNING AND STOPS 207
- 12.15 TUNING FOR KEYBOARD DUETS OR TRIOS 208

CHAPTER 13. TEMPERAMENT SCHEMES FOR KEYBOARD INSTRUMENTS

- 13.1 SYMBOLS FOR THE TEMPERAMENT SCHEMES 212
- 13.2 THE PURE INTONATIONS 213
- 13.3 STANDARD MEANTONE TEMPERAMENT 216
- 13.4 EXTENDED MEANTONE TEMPERAMENT 220
- 13.5 MINOR-THIRDS MEANTONE TEMPERAMENT 223
- 13.6 HOMOGENEOUS MEANTONE TEMPERAMENT 225
- 13.7 ATTENUATED MEANTONE TEMPERAMENT 229
- 13.8 SEMI TEMPERAMENTS 233
- 13.9 MERSENNE-CHAUMONT TEMPERAMENT 234
- 13.10 EARLY FRENCH (COUPERIN) TEMPERAMENT 237
- 13.11 STANDARD FRENCH (ROUSSEAU) TEMPERAMENT 240
- 13.12 HOMOGENEOUS FRENCH TEMPERAMENT 244
- 13.13 SCHLICK'S TEMPERAMENT 24
- 13.14 WERCKMEISTER'S III TEMPERAMENT 249
- 13.15 KELLNER'S BACH TEMPERAMENT 251
- 13.16 VALLOTTI'S TEMPERAMENT 252
- 13.17 VALLOTTI/YOUNG TEMPERAMENT 255
- 13.18 BARNES'S BACH TEMPERAMENT 258
- 13.19 BACH WTC BEST+ TEMPERAMENT 261
- 13.19a NEIDHARDT'S 5TH CIRCLE #8 "BIG CITY" 262
- 13.19b NEIDHARDT'S 3RD CIRCLE #5 264
- 13.20 BROADWOOD'S BEST TEMPERAMENT 266
- 13.21 ALMOST-EQUAL TEMPERAMENT 267
- 13.22 EQUAL TEMPERAMENT 270
- 13.23 DO-IT-YOURSELF TEMPERAMENT SCHEMES 273

CHAPTER 14. TEMPERAMENT SCHEMES FOR FRETTED CLAVICHORDS

- 14.1 TUNING A FRETTED CLAVICHORD 274
- 14.2 CHANGING THE TEMPERAMENT 275
- 14.3 TEMPERAMENT AND THE FRETTING PATTERN 275
- 14.4 PURE INTONATIONS277
- 14.5 MEANTONE TEMPERAMENTS 278
- 14.6 FRENCH CIRCULAR TEMPERAMENTS 280
- 14.7 GOOD TEMPERAMENTS 281
- 14.8 EXTENDING THE TUNING 284

CHAPTER 15. FRETTING INSTRUCTIONS

- 15.1 STANDARD LUTE AND VIOL TUNINGS 285
- 15.2 GEOMETRICAL FRETTING PROCEDURES 286
- 15.3 FRETTING THE IRREGULAR LUTE TEMPERAMENTS 292
- 15.4 EMPIRICAL FRETTING METHODS 294
- 15.5 PYTHAGOREAN FRETTING 296
- 15.6 PYTHAGOREAN-JUST FRETTING 300
- 15.7 STANDARD-JUST FRETTING 305
- 15.8 MEANTONE FRETTING 307
- 15.9 ENHARMONIC MEANTONE FRETTING 321
- 15.10 EARLY FRENCH FRETTING 322
- 15.11 CIRCULAR FRETTINGS 324
- 15.12 CITTERNS 329
- 15.13 EQUAL TEMPERAMENT AND THE RULE OF 18 330

CHAPTER 16. INTONATION FOR VIOLINS AND VOICES 331

- 16.1 JUST INTONATION FINGERING 331
- 16.2 MEANTONE FINGERING 332
- 16.3 EARLY FRENCH FINGERING 336
- 16.4 STANDARD FRENCH FINGERING 337
- 16.5 FINGERING IN A GOOD TEMPERAMENT 338
- 16.6 PYTHAGOREAN FINGERING 340
- 16.7 SLIDE TRUMPETS AND TROMBONES 341
- 16.8 VOICE INTONATION 341
- 16.9 VARIABLE INTONATION 342

CHAPTER 17. INTONATION FOR WOODWINDS

- 17.1 NO UNEQUAL TEMPERAMENTS FOR MODERN WOODWINDS 347
- 17.2 UNEQUALLY TEMPERED EARLY WOODWINDS 348
- 17.3 RECORDER AND UNEQUAL TEMPERAMENTS 349
- 17.4 BAROQUE TRANSVERSE FLUTE AND UNEQUAL TEMPERAMENTS 350
- 17.5 BAROQUE OBOE AND UNEQUAL TEMPERAMENTS 351

CHAPTER 18. INTONATION FOR BRASS WIND INSTRUMENTS

- 18.1 MEANTONE FOR VALVED BRASS 353
- 18.2 NATURAL TRUMPET AND HORN 357
- 18.3 THE NAT IN D 361
- 18.4 THE NAT IN C 363
- 18.5 THE NAT IN F 365
- 18.6 THE NAT IN Eb 367
- 18.7 NATS IN G AND Bb 369
- 18.8 CHORTON AND KAMMERTON 369
- 18.9 HAND HORNS AND TRUMPETS WITH SLIDES 370

PART THREE - COMPLEMENTARY TOPICS

CHAI	PTFR	19	MUI	TIPI	F DIV	VISIONS
\circ i \wedge i						

19.1 ADDITIONAL	NOTES IN JUST	INTONATION	373
-----------------	---------------	------------	-----

- 19.2 SALINAS'S 24-NOTE SYSTEM 374
- 19.3 BAN'S 18- NOTE KEYBOARD 375
- 19.4 HELMHOLTZ'S 24-NOTE SYSTEM 377
- 19.5 FROM MULTIPLE-JUST TO MULTIPLE-REGULAR SYSTEMS 380
- 19.6 19-NOTE KEYBOARDS AND DIVISION 380
- 19.7 VICENTINO'S 31-DIVISION 383
- 19.8 QUARTER-TONE 24-DIVISION 386
- 19.9 SYSTEMATIC EXPLORATION OF ETS 387
- 19.10 ETS AS LOGARITHMIC INTERVAL UNITS 390

CHAPTER 20. FURTHER INTERESTING TOPICS

- 20.1 TRITONES AND MINOR SEVENTHS 394
- 20.2 CIRCLE OF INTERVALS OTHER THAN FIFTHS/FOURTHS 396
- 20.3 NINE COMMAS IN A TONE 397
- 20.4 THE 13TH STRING IN FLEMISH TRANSPOSING HARPSICHORDS 399
- 20.5 COUNTING THE NUMBER OF POSSIBLE TEMPERAMENTS 401
- 20.6 ORNAMENTAL BEATS IN MEANTONE VARIANTS 402
- 20.7 FULL CATALOGUE OF INTERVALS 405
- 20.8 FULL CATALOGUE OF FIFTHS 406
- 20.9 FULL CATALOGUE OF MAJOR THIRDS 407

CHAPTER 21. ANALYSIS OF SOURCES ON TEMPERAMENT 408

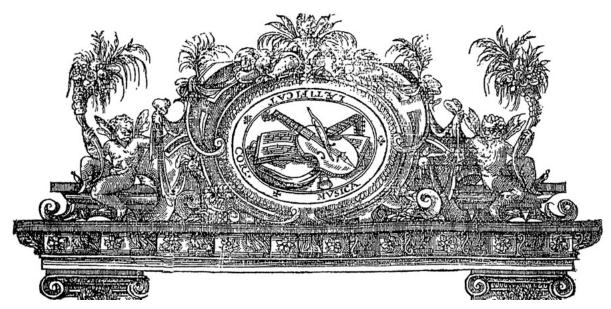
- 21.1 ARON'S TEMPERAMENT INSTRUCTIONS OF 1523 409
- 21.2 FRANÇOIS COUPERIN'S ORGAN TEMPERAMENT 412
- 21.3 A REAPPRAISAL OF THE "TEMPÉRAMENT ORDINAIRE" 418
- 21.4 SCHLICK'S TEMPERAMENT REVISITED 430
- 21.5 WERCKMEISTER'S TEMPERAMENTS 434
- 21.6 NEIDHARDT'S TEMPERAMENTS 438
- 21.7 A REMARK ON MARPURG'S TEMPERAMENTS 441
- 21.8 BACH'S TEMPERAMENT: 1/6 P.C. SEARCH 442
- 21.9 BACH'S TEMPERAMENT: 1/12 P.C. SEARCH 444
- 21.10 BACH'S TEMPERAMENT: AMOUNT OF INEQUALITY 446
- 21.11 THE PURPORTED HANDEL'S TEMPERAMENT 447
- 21.12 ALMOST-EQUAL TEMPERAMENT: MATHEMATICAL DEDUCTION 448
- 21.13 BARBOUR'S TEMPERAMENT 450
- 21.14 THE DELUSION OF VIOLIN-FRIENDLY TEMPERAMENT 451
- 21.15 THE TEMPERAMENT DESCRIBED IN SCORZI'S SPINET 452
- 21.16 EQUAL TEMPERAMENT BY PURE FIFTHS 453
- 21.17 THE VALLOTTI SAGA 454
- 21.18 THE EQUAL-BEATING TEMPERAMENT 457

CHAPTER 22. APPENDICES 458

- APPENDIX 1: ON CONSONANCE, DISSONANCE AND SCIENCE 459
- APPENDIX 2: FIFTH HARMONIC WASTE IN EARLY FRENCH TEMPERAMENTS 460
- APPENDIX 3: FIFTH HARMONIC WASTE IN STANDARD FRENCH TEMPERAMENT 461
- APPENDIX 4: CONFUSION BETWEEN SEMI-MEANTONE AND ORDINAIRE 462
- APPENDIX 5: MATHEMATICAL COINCIDENCES IN MUSIC 464
- APPENDIX 6: TEMPERAMENTS AND HARPSICHORD/CLAVICHORD STRINGING 467
- APPENDIX 7: DECIMAL PLACES REQUIRED IN CENTS 473
- APPENDIX 8: PHOTOGRAPH SOURCES 474

SOUND EXAMPLES 475

LITERATURE CITED 477



Upper part of the front page from Robert Dowland's Varietie of Lute-lessons, 1610.

"twelve perfectly equal semitones ... this practice does not seem up to nowadays to have pleased Musicians ... they cannot be persuaded to depart with the energetic variety they find among the different affections of the Tones caused by the established [unequal] Temperament. ...

JEAN JACQUES ROUSSEAU, 1765

INTRODUCTION

[This new Introduction replaces the Prologue and Revival sections of previous editions.]

In Western music, "intonation" or "temperament" is the way the octave is subdivided into twelve or more similar steps, producing the familiar twelve semitones. This is needed, prior to performance, in all the musical instruments with fixed notes: keyboards, woodwinds and fretted strings. Even when initially set by the maker—as in organs and wind instruments—the temperament can be modified afterwards by either makers or tuners, and also by the performers while playing non-keyboard instruments.

Subdividing the octave yields the notes that allows us to produce melodies (when played in sequence) and intervals (when played simultaneously), which are the foundation of Western music. Unfortunately, natural and mathematical laws show that the most important musical intervals are mutually incompatible. If we try to tune perfect or "pure" all the octaves and fifths, we find that at least one fifth must be left noticeably out-of-tune or "impure": also, most major thirds are very noticeably impure. An even greater imbalance occurs if we try—in vain—to tune pure all the octaves and major thirds. Hence the necessity of a compromise or "temperament", a term that originally meant the tuning of impure intervals.

Temperament, like the other components of musical performance, has undergone significant changes in history. Our modern Equal Temperament was well known already in the Renaissance era, but it only became common practice in the second half of the 18th century. For most earlier music—composed in the Medieval, Renaissance and Baroque eras—musicians found issue with the uniform dissonance of equally-tempered intervals. They preferred various kinds of *unequal temperaments*, in which the "total dissonance" was allocated in different amounts to each specific interval. For instance, some major thirds could be very consonant or even absolute pure, while others—inevitably—would be quite dissonant or even unplayable. The final result was that music sounded better in tune, because the most frequently employed intervals and chords were significantly more consonant than the others. Music played following historical temperaments acquires an entirely new sound and meaning.

With the modern revival of ancient practices and instruments, the interest in historical temperaments arose. The reasons were not only musical but also practical: early instrument manufacture and playing techniques were tailored for playing in unequal temperaments.

Helmholtz observed that differences in temperament are clearly heard even by unmusical ears (Helmholtz 1862 p. 320). An early modern account on meantone temperament was written by S. A. Hurren and included in William Braid White's classical work on piano tuning (White 1946 App. 4). Modern work on historical temperaments began with J. Murray Barbour, who collected his findings in an often-quoted book (Barbour 1951). Also very widely read were two treatises by Owen Jorgensen (Jorgensen 1977 and 1991).

Unfortunately, **Barbour** was for temperaments what Wanda Landowska was for harpsichords. As 20th century pioneers, they helped to revive the interest in important and forgotten matters, and also —inevitably—first identified some relevant details. Unfortunately, they were also very influential in the diffusion of many ideas that were shown to be unhistorical by later research. Barbour included in his book (1951) the descriptions of 178 temperaments, many of them gathered from historical sources hardly ever studied before. Nevertheless, many of his deductions were inaccurate, and he ignored some very important—available—sources. Barbour's analysis of each temperament was minimal, which is to be expected in a book that in A4 prints in just 138 pages. Actually, his goal was just to show the "historical evolution towards equal temperament", the latter being *a priori* considered the only one worth tuning in: his analysis of every temperament was mostly limited to concoct a single number representing how much it departs from Equal Temperament. More than half a century later, for today's scholars and performers, the contents of Barbour's book are mostly useless and often inaccurate and misleading.

Owen **Jorgensen** was very influential—especially in the USA—in spite of a review observing that "He knows how to write history ignoring historical data. ... he has probably not read any historical source ... he only mentions three ancient sources ... His knowledge of historical temperaments is entirely obtained from Barbour's book and therefore fully follows all of Barbour's limitations and errors. ... all sense of responsibility for the historical truth is missing" (Rasch 1980). As for Jorgensen's book of 1991, some of its main assertions have been shown to be in error (Sturm 2011).

Not surprisingly, until the 1980s the practice of the historical unequal temperaments was limited to a few performers. It was also—and to some extent still is—hampered by the inaccuracies of oral transmission, beliefs not supported by historical or scientific evidence, and the use of rules of thumb when precise knowledge and accurate methods are available. In order to put a historical temperament into practice, the modern musician needs (1) a structured account of its main features in musical performance, (2) information on its historical use and (3) a keyboard tuning method or advice for intonation in non-keyboard instruments. This information was hardly found in modern publications prior to 1980.

My personal journey to the temperaments world started in Paris in the late 1960s. Having met the late **Hubert Bédard**, then Director of the *Atélier de Restauration, Musée Instrumental, Paris Conservatoire*, I was introduced to each one of the antique harpsichords that Bédard and his team had lovingly restored and tuned with different unequal tunings. Bédard and Pierre Dumoulin were there to accompany visitors and kindly answer their questions. Bédard's work on temperaments was mostly intuitive, but he was a great musician and communicator: he will be remembered for his generous and inspiring influence on a whole generation of harpsichordists who—like myself—were lucky to be around Paris in the 1960s and 1970s.

Two decades later, the *Musée Instrumental* was transferred to the beautiful new *Musée de la Musique* in *La Villette*, north of Paris. This is surely better for the instruments' long-term preservation, but unfortunately the former fruitful, invaluable and intimate contact between students, scholars, musicians and instruments has been lost in the process. In a personal visit a few years ago I could not find anybody from the *Musée* to talk to: as for the instruments, they are aseptically isolated behind glass panels.

After I moved back to my hometown, Buenos Aires, I started my work on temperaments in 1975. After Barbour, the main additions to the literature had been a booklet on harpsichord tuning by **G.C. Klop** (Klop 1974) and a short but didactic article by **Mark Lindley** on Pythagorean, meantone and circular temperaments for the harpsichord (Lindley 1977). By then I was trying to put together a comprehensive account on temperaments for the tuning and performance of early instruments. I was lucky to have a background in science and also in the performance, tuning and repair of keyboards and woodwinds. Complex calculations were a serious issue at the time: there were no personal computers or spreadsheets, and I spent months developing and running FORTRAN programmes on a university computer.

At this point my work gained further momentum thanks to a gift from my late father, Angelo Di Veroli: by a lucky chance he found at an auction in Buenos Aires an original leather-bound copy of the original edition of Jean-Jacques Rousseau's "Dictionnaire de Musique", Geneva/Paris 1765. Featuring a crucially important description of the tempérament ordinaire, to which I eventually devoted some research, Rousseau's Dictionnaire still enjoys pride of place in my personal musical library.

When large audiences came to an audio-visual course on Musical Scales and Temperament, which I delivered at the *Goethe Institut* in Buenos Aires, I was urged in lieu to produce a full treatise, and by 1978 the book *Unequal Temperaments and their Role in the Performance of Early Music* was ready. Finding the right publisher proved difficult, however: eventually I had it "electrically typed", with hand-drawn figures, and printed by a local shop. After an advertisement published in *Early Music*, Vol.7 p. 550, hundreds of purchase orders followed, mostly from booksellers and libraries in the USA, UK, Sweden, Argentina, Spain and Japan.

In May 1980, Rudolf Rasch published—in Dutch—in *Stimulus*, the Ancient Music journal of the University of Utrecht, a detailed review of *Unequal Temperaments*. Rasch praised both the theoretical framework of the book and the emphasis in the historical relevance of each temperament for the present-day performance of music from different periods and styles.

Quite a few musicians wrote me kind letters with positive comments and the authorisation to make them public. Among them were the harpsichordist Igor Kipnis from the USA, the Argentinian conductor Sergio Siminovich, Hubert Bédard from Paris and John Barnes from Edinburgh. Bédard wrote: "The most useful text on the subject I have seen yet. ... This book ... seems to settle the matter at last." John Barnes found the book "A beautiful achievement ... Musicians will find that it answers questions that are not treated in any other text."

Eventually the book sold out. In 1985 I received a proposal from the Oxford University Press for a revised 2nd edition: unfortunately, for internal marketing reasons, they eventually shelved the project. There were no reprints or revised editions of the 1978 book.

In the following decades much new valuable work on ancient documents and temperaments was published by leading researchers in the field. I expected that one of them would sooner or later publish an updated reference treatise, but this never happened. Eventually, three decades having elapsed since the 1978 book, I started working on this new book, devoting to it most of 2007 and 2008.

The present book is not a revision but a new treatise written from scratch. It does include the contents of the 1978 book, but it also incorporates the results of new published research, including recent discussions by/with colleagues. As before, theoretical matters—musical and scientific—not directly related to musical practice are kept to a minimum. Conversely, the number of figures has been hugely increased, including colour pictures and charts employing state-of-the-art computer tools.

In the last few decades, unequal temperaments have undergone a separate parallel revival for specially-composed modern music. These interesting developments are found in new publications and are not covered in the present book, which deals with "classical Western" music only.

Needless to say, the present book is neither a complete account of modern research nor a catalogue trying to cover the universe of all the temperaments ever described. Such a thing would need many thousands of pages, and would be of scarce value to the modern musician, who can only handle a few temperaments. The MISSION STATEMENT for the present book is:

"To draw from selected ancient writings and the wealth of modern research, and to explain everything the modern musician needs to know in order to understand and employ those **few** musical temperaments that gained **widespread** acceptance in times and places of significance in the history of Western music."

Let me now describe some of the book's main features in its present 3rd edition.

- TREATMENT OF EVERY TEMPERAMENT. Most modern writings either quote and comment on ancient documents, or else explain complex mathematical derivations. Here instead we provide a simple and unified—yet exhaustive—mathematical formulation, a description of the temperament's main musical properties, and a history of its use in practice.
- CHARTS, PHOTOS AND BOXES. Figures make reading more enjoyable, explain concepts with graphical metaphors, and help to consolidate newly-acquired knowledge. In this edition many figures have been improved, and new photographs of ancient documents and instruments have been added. Colour in text boxes has now been standardised: Curiosities, Useful comments, Figures, Dubious ideas, Maths, acoustics and caveats.
- SOUND EXAMPLES. A companion set of 27 sound files has been produced: they can be freely downloaded from the webpage http://temper.braybaroque.ie/spread.htm. Each example is cited in the text where appropriate, and the full list is included at the end of the book before the final list of *Literature Cited*.
- ORIGINAL TUNING DIRECTIONS. These are written in the style found in early tuning instructions, and are meant to fully convey the feeling of ancient tuning practices.
- TEMPERAMENTS AND MATHEMATICS. In recent times a few writers have written extensive
 works about temperaments without using mathematics at all: supposedly this makes things
 easier to understand. Yet, even since the Middle Ages, writers knew that mathematics is
 needed to substantiate and explain the basics about intervals, scales and temperament.
 The reader is spared high-level maths such as calculus, continuous fractions or advanced
 statistics: only secondary school algebra and elementary statistics are used in this book.
- HYPERLINKS. They are no longer included in this edition: most of them failed to work in the final PDF file, a common issue of the conversion of large files. The links in the Table of Contents do work, however. As for the Internet, the reader can just copy any web address from the text and paste it into the address box of the web browser. The web addresses in this book have all been updated and are valid as per December 2012.
- LANGUAGE. The present book follows British English usage. In the 3rd edition the usage of some common terms has been improved. For example, "flat, flatter, to flatten" and "sharp, sharper, to sharpen" are now used for single notes only, while for intervals we use instead "narrow, narrower, to narrow" and "wide, wider, to widen".
- SPREADSHEETS. Years ago I made spreadsheets available online: they included scores of worksheets, one for every temperament. While this provided flexibility for individual temperaments, general improvements required endless repetitions, and inconsistency of presentation was inevitable after years of small changes. A new much simpler spreadsheet has now been produced. (http://temper.braybaroque.ie/spread.htm). For more details see the text box immediately before Section 13.1.

PART ONE of this book begins with a substantial introduction to the acoustics and simple modern mathematics of temperament. The most important historical temperaments are then covered, with a concise but systematic analysis of their acoustical and musical features. This part ends with a detailed **HISTORICAL SURVEY** intended to guide the performer in the selection of the temperament(s) to be used for his/her repertoire.

PART TWO consists of keyboard tuning and fretting instructions for several temperaments, followed by unequal-temperament directions for unfretted strings, voices, woodwinds and brass wind instruments, including variable intonation techniques. The different groups of instruments are covered in independent chapters, allowing the reader to employ only the chapters relevant to his/her specific musical interest.

PART THREE covers additional matters: multiple divisions of the octave, the analysis of some important historical sources, and some new relevant research.

LITERATURE CITED: the bibliographical references are given in full only in this list at the end of the book. No indexes are needed in a computer file, where searches are easy to perform.

The above arrangement should fulfil the goal of easy accessibility by readers with different interests: performers, tuners, makers, musicologists, students and amateurs.

Four years after the very successful 2^{nd} edition of April 2009, some opportunities for improvement have been kindly reported by readers and colleagues. Even more significantly, through continuous revisions and studying recently-published research, the author found that quite a few topics benefited from an improved treatment. This has resulted in more than 30 additional pages for this new 3^{rd} edition, hopefully the definitive one.

Readers of the 2nd ed. will find significant additions or enhancements in the following topics:

- Werckmeister III temperament
- Vallotti/Young temperament
- Neidhardt's temperaments
- The search for J.S. Bach's temperament
- Tuning for keyboard duets or trios
- Historical review of temperaments in performance
- History of Variable Intonation for winds and strings
- Historical trends within the French tempérament ordinaire
- Leigh-Silver's Equal-Beating temperament
- Relevance of temperament in the stringing list of the harpsichord and clavichord

There are also minor additions or improvements in the following topics:

- Schlick's temperament
- Historical genesis of meantone temperament
- Gallimard's logarithmic tunings
- Almost-Equal temperament
- Intonation for brass wind instruments
- Mathematical coincidences in music
- Literature Cited (44 new entries), and many others.

This book owes a lot to many persons, but mainly to:

- Hubert Bédard and Pierre Dumoulin, who introduced the author to the practice of tuning in unequal temperaments in Paris back in 1969-1971.
- Sergio Siminovich and Carlos López Puccio, remarkable musicians in Argentina who helped to improve the first draft of the original *Unequal Temperaments* book (1978).
- David Bauguess, master keyboard tuner in Colorado, USA, who offered many useful suggestions for the 1st edition of the present eBook (2008).
- Fred Sturm, concert pianist and piano technician in the faculty of the University of New Mexico, USA, who edited the text for the 2nd edition (2009).
- **John O'Hagan**, learned Irish musicophile and active collaborator of Bray Baroque, who edited the text for this 3rd edition.

Claudio Di Veroli, Bray, Ireland, April 2013.

C. Di Veroli – Unequal Temperaments – Contents, Introduction and Literature Cited p. 16

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The list below includes all the publications consulted for—and cited in—this treatise, excluding musical scores. The reader wishing to check a full specialised list will find online the *Tuning & temperament bibliography* by Manuel Op de Could, Brian McLaren, Franck Jedrzejewski and Dominique Devie, with about 6,000 entries: http://www.huygens-fokker.org/docs/bibliography.html (acc. Dec. 2012), which, nevertheless, does not include all the works cited here.

Most of the ancient literature listed below is available in modern reprints, often also in English versions. Reprints are only mentioned when they are cited in the present book: the reader can otherwise find the information and availability on the Internet. The list is sorted first by author surname, then by year of publication, then by title.

Whenever a work (fully or partially or just an abstract or contents list) is freely available from the Internet, the web address—checked to be valid in Dec. 2012—has been included in blue colour: there is no hyperlink, but the webpage can be accessed in the Internet by simply copying it from here and pasting it into the web browser's Address box.

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